



3-26-02

HH

MP #

Attorney Docket No.: COAD-003/01US

PATENT

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Date:

20 March 2002

By:

Vladimir Skliba

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Jack R. KELLY, et al.

Serial No.: 10/035,804

Examiner: Not yet assigned

Confirmation No.: 1747

Art Unit: 2874

Filed: December 28, 2001

For: **APPARATUS AND METHOD FOR ACHROMATIC LIQUID CRYSTAL
ELECTRO-OPTIC MODULATION**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(b) mailed on January 30, 2002, enclosed are:

- ☒ [X] Copy of the Notice to File Missing Parts of Application
- ☒ [X] Executed Declaration
- ☒ [X] Power to Prosecute
- ☒ [X] Assertion of Entitlement to Small Entity Status
- ☐ [] Application Data Sheet
- ☒ [X] Other: Return postcard

and the payment of the following fee(s):

- ☒ Filing fee of \$406.00
- ☒ Surcharge fee for Late Filing of Declaration of \$65.00
- ☐ Other fees:

Total Fee due: **\$471.00.**

- ☒ Check in the amount of \$471.00 for the total fee is attached.
- ☐ Please charge \$__ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: _____

March 20, 02

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Respectfully submitted,
COOLEY GODWARD LLP



By: _____

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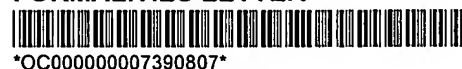
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,804	12/28/2001	Jack R. Kelly	COAD-003/01US

23419
COOLEY GODWARD, LLP
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PALO ALTO, CA 94306



CONFIRMATION NO. 1747

FORMALITIES LETTER



OC000000007390807

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$72.
 ▪ \$72 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 942.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 NMOHAMM1 00000055 10035804

01 FC:201	370.00 OP
02 FC:203	36.00 OP
03 FC:205	65.00 OP